

# Dinucleating Schiff base ligand in Zn/4f coordination chemistry: Synthetic challenges and catalytic activity evaluation

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Four Zn/4f polynuclear coordination clusters (PCCs) formulated as  $[\text{Zn}^{\text{II}}\text{Dy}^{\text{III}}_2\text{L}_2(\text{CO}_3)_2(\text{NO}_3)_2]$  (**1**),  $[\text{Zn}^{\text{II}}\text{Y}^{\text{III}}\text{L}(\text{NO}_3)_2(o\text{-van})(\text{MeOH})]$  (**2** (MeOH)) and  $[\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}\text{L}(\text{NO}_3)_2\text{Cl}(\text{EtOH})]$  where Ln is Dy (**3**) and Y (**4**) and where L is the dinucleating Schiff base ligand *N,N'*-bis(3-methoxysalicylidene)cyclohexane-1,2-diamine and *o*-van is *ortho*-vanillin, were prepared and fully characterised for the first time. These air-stable heterometallic PCCs, obtained in high yields from commercially available materials, were shown to remain stable in solution in their dinuclear  $[\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}\text{L}]$  form. Their catalytic activity was evaluated in various catalytic transformations including the Friedel-Crafts alkylation of 2-acyl imidazoles with indoles.

## Introduction

Coordination clusters (CCs)<sup>1</sup> have recently been the centre of intense scrutiny due to their fascinating magnetic<sup>2,3</sup> and luminescent properties<sup>4,5</sup> as well as the very diverse core topologies<sup>6-8</sup> they display. Remarkably, several well characterized or *in situ* formed homometallic<sup>9-12</sup> or heterometallic<sup>13-16</sup> CCs were found to promote organic transformations, whereas fewer examples of heterometallic 3d/4f CCs have been tested for their catalytic activity. These can be classified into two kinds. The first category incorporates *in-situ* generated catalysts from designed ligands and 3d/4f metal salts without prior characterisation of the pre-catalyst or postulated active species. These examples, were shown to promote a number of transformations including the *syn*-selective nitro-Mannich reaction,<sup>17,18</sup> the *anti*-selective asymmetric Henry reaction<sup>19</sup> and the asymmetric decarboxylative 1,4-addition of malonic acid half-thioesters.<sup>20</sup> Solution studies showed the existence of other  $(3d)_x(4f)_x$  oligomers, where x ranges from 3 to 9, in the presence of protic solvents or additives.<sup>17,18</sup> In addition, tuning the 4f centre and the metal salt, led to a decrease in the efficacy of the corresponding catalyst.

The second group of 3d/4f CCs includes paradigms of well-characterised pre-catalyst or postulated active species.<sup>21-30</sup>

The advantage of the isolation and use of well-defined species compared to the former set is the possibility to extract mechanistic information by performing controlled experiments and thus shed light in the catalytic nature of the 3d/4f PCCs. Moreover, this approach allows to fine tune the reaction conditions (*i.e.* optimize the catalyst loadings <0.5 mol%). For example the isoskeletal tetranuclear  $\text{Co}^{\text{II}}_3\text{Ln}$  CCs were introduced as a new springboard water oxidation catalyst,<sup>21</sup> whereas heptanuclear 3d-4f helicates show high catalytic activity for the coupling of CO<sub>2</sub> and epoxides to obtain cyclic carbonates with a wide substrate scope at ambient temperature and pressure.<sup>30</sup> Our group has reported the synthesis and characterization of a series of isoskeletal “butterfly” shaped tetranuclear  $[\text{3d}^{\text{II}}_2\text{4f}^{\text{III}}_2\text{L}_4]^{2+}$  (3d = Ni, Co, Cu, Zn, 4f = Y, Sm, Dy, Gd, Sm) CCs, in which the coordination

spheres of the 3d and the 4f ions are not fully saturated, and their use in a series of organic transformations.<sup>23-26,29</sup> This catalytic library showcased cooperative catalytic behaviour, while variation of the 4f centre permitted the extraction of useful mechanistic information.<sup>26</sup> However, our efforts to obtain isoskeletal derivatives altering the counter ion and/or the lanthanide source yielded in the isolation of unexpected products with variant formulations, which could be attributed to several parameters including the pH of the reaction and the coordination ability of the anion and/or the ionic radii of the lanthanide element.<sup>25</sup>

Taking all these into account and considering the successful use in catalysis<sup>17,18</sup> of the *in-situ* formed 3d/4f CCs built from a dinucleating Schiff base ligand, we initiated a project to investigate the synthesis and characterization of dinuclear Zn/4f CCs and evaluate their catalytic activity in various reactions including the Friedel-Crafts alkylation of acylimidazole-derived enones. We have chosen to use the dinucleating Schiff base *N,N'*-bis(3-methoxysalicylidene)cyclohexane-1,2-diamine (**H<sub>2</sub>L**, Scheme 1) as the ligand and Dy or Y as the 4f source. Y<sup>III</sup> has a size and Lewis acidity similar to Ho<sup>III</sup> and Dy<sup>III</sup>, and its use permits characterization in solution. We prepared a series of CCs formulated as  $[\text{Zn}^{\text{II}}\text{Dy}^{\text{III}}_2\text{L}_2(\text{CO}_3)_2(\text{NO}_3)_2]$  (**1**),  $[\text{Zn}^{\text{II}}\text{Y}^{\text{III}}\text{L}(\text{NO}_3)_2(o\text{-van})(\text{MeOH})](\text{MeOH})$  (**2** (MeOH))) and  $[\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}\text{L}(\text{NO}_3)_2\text{Cl}(\text{EtOH})]$  where Ln is Dy (**3**) and Y (**4**). All compounds were subjected to ESI-MS solution studies, whereas the stability of the diamagnetic Zn/Y analogues was investigated with <sup>1</sup>H and <sup>89</sup>Y NMR before being evaluated for their catalytic activity. We report here the results of our endeavour.

## Experimental

**Materials.** Chemicals (reagent grade) were purchased from Sigma Aldrich, Fluorochem, TCI and Alfa Aesar. All experiments were performed under aerobic conditions using materials and solvents as received.

**Instrumentation.** IR spectra were recorded over the range of 4000-650 cm<sup>-1</sup> on a Perkin Elmer Spectrum One FT-IR spectrometer fitted with a UATR polarization accessory.

ESI-MS data were obtained on Bruker Daltonics Fourier transform ion cyclotron (FTICR-MS) while the EI (at 70 eV) were obtained using Fissions instrument VG Autospec. NMR spectra were measured on a Varian VNMRs solution-state spectrometer (Bruker BioSpin, Rheinstetten, Germany) at 500 MHz at 30 °C using residual isotopic solvent (DMSO-d<sub>6</sub>,  $\delta_{\text{H}}$  = 2.50 ppm) as an internal chemical shift reference. <sup>1</sup>H DOSY (ledbpgp2s), <sup>15</sup>N-HMBC and <sup>89</sup>Y-HMQC spectra were acquired at 298.0 K on a Bruker Avance III 400 instrument (Bruker BioSpin) equipped with a broadband observe probe (BBO). Chemical shifts are quoted in ppm. Coupling constants (*J*) are recorded in Hz. In contrast to earlier work,<sup>23,26</sup> we were unable to observe any <sup>1</sup>H-<sup>89</sup>Y HMBC correlations, perhaps due to exchange broadening.

**Synthesis of H<sub>2</sub>L.** *o*-Vanillin (2.0 g, 2.6 × 10<sup>-2</sup> mol) and 1,2-diaminocyclohexane (0.74 g, 1.3 × 10<sup>-2</sup> mol) were refluxed in MeOH (40 mL) for 2 h. The resulting clear yellow solution was then concentrated under reduced pressure and the crude residue was purified by flash column chromatography over silica gel (Hexanes/EtOAc: 80:20) to form the desired compound.

**Synthesis of the metallo-ligand ZnL.** Following a previously reported procedure,<sup>31</sup> L (0.77 g, 2.0 mmol) was suspended in EtOH (20 mL) and stirred under reflux for 10 min. An aqueous solution (5 mL) of Zn<sup>II</sup>(OAc)<sub>2</sub>·4H<sub>2</sub>O (0.44 g, 2.0 mmol) was then added and the resulting mixture was refluxed for 2 h, time after which a pale yellow precipitate formed and was separated by filtration. The latter was washed with MeOH (3 × 20 mL) and Et<sub>2</sub>O (2 × 15 mL) to yield Zn-L in 89% yield.

**Synthetic Protocol for the synthesis of Zn<sup>II</sup>-Ln<sup>III</sup> PCCs (1-4).** [Zn<sup>II</sup>Dy<sup>III</sup><sub>2</sub>L<sub>2</sub>(CO<sub>3</sub>)<sub>2</sub>(NO<sub>3</sub>)<sub>2</sub>] (**1**) and [Zn<sup>II</sup>Y<sup>III</sup>L(NO<sub>3</sub>)<sub>2</sub>(*o*-van)(MeOH)] (MeOH) [**2** (MeOH)] were prepared following the similar synthetic pathway. The metallo-ligand ZnL (44 mg, 0.1 mmol) was suspended in MeOH (20 mL) and stirred for 5 min. Ln(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O (0.1 mmol) was subsequently added and the reaction mixture was stirred for an additional hour. The resulting clear yellow solution was eventually filtered and left for slow evaporation. After 9-15 days, small colourless needles suitable for X-Ray diffraction were formed (49 and 35% yield based on Ln<sup>III</sup>). **CHN 1** [Zn<sup>II</sup>Dy<sup>III</sup><sub>2</sub>L<sub>2</sub>(NO<sub>3</sub>)<sub>2</sub>(CO<sub>3</sub>)<sub>2</sub>] observed: C-37.76%, H-3.35%, N-5.81%, expected: C-37.81%, H-3.31%, N-5.75%. **CHN 2** [Zn<sup>II</sup>Y<sup>III</sup>L(NO<sub>3</sub>)<sub>2</sub>(*o*-van)(MeOH)] (MeOH) [**2** (MeOH)] observed: C-42.22%, H-3.70%, N-6.29%, expected: C-44.03%, H-4.51%, N-6.42%.

[Zn<sup>II</sup>Ln<sup>III</sup>L(NO<sub>3</sub>)<sub>2</sub>Cl(EtOH)], where Ln is Dy<sup>III</sup> (**3**) and Y<sup>III</sup> (**4**), were prepared following a different synthetic pathway in comparison to **1** and **2**. After suspending H<sub>2</sub>L (38 mg, 0.1 mmol) in EtOH (20 mL) and stirring for 5 min, Ln(NO<sub>3</sub>)<sub>3</sub>·5H<sub>2</sub>O (0.1 mmol) and ZnCl<sub>2</sub> (0.1 mmol) were added and stirring was continued for 1 h to form a clear yellow solution. The latter was filtered and left for slow evaporation. After 6-9 days, colourless crystalline blocks suitable for single X-Ray diffraction were collected and dried overnight (37-49% yield based on Ln<sup>III</sup>). **CHN 3** [Zn<sup>II</sup>Dy<sup>III</sup>L(NO<sub>3</sub>)<sub>2</sub>Cl(EtOH)] observed: C-35.50%, H-3.79%, N-6.69%, expected: C-35.42%, H-3.72%,

N-6.89%. **CHN 4** [Zn<sup>II</sup>Y<sup>III</sup>L(NO<sub>3</sub>)<sub>2</sub>Cl(EtOH)] observed: C-38.85%, H-4.13%, N-7.55%, expected: C-39.02%, H-4.09%, N-7.59%.

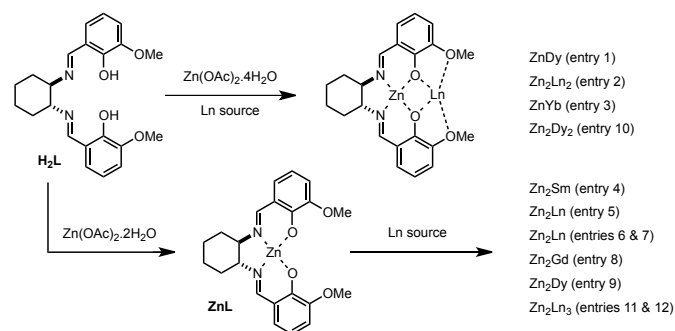
**X-Ray Crystallography.** Data for **2** ( $\omega$ -scans) were obtained at the University of Sussex using an Agilent Xcalibur Eos Gemini Ultra diffractometer with CCD plate detector under a flow of nitrogen gas at 173(2) K. Data for **1**, **3** and **4** were collected at the National Crystallography Service, University of Southampton<sup>32</sup> on a Rigaku FRE+ diffractometer equipped with a HG Saturn 724+ CCD detector under a flow of nitrogen gas at 100(2) K, processed with CrysAlisPro and solved by intrinsic phasing methods with SHELXT.<sup>33</sup> All crystal structures were then refined on Fo<sup>2</sup> by full-matrix least-squares refinements using SHELXL.<sup>33</sup> All non-H atoms were refined with anisotropic thermal parameters, and H-atoms were introduced at calculated positions and allowed to ride on their carrier atoms. Geometric/crystallographic calculations were performed using PLATON,<sup>34</sup> Olex2,<sup>35</sup> and WINGX<sup>36</sup> packages; graphics were prepared with Crystal Maker.<sup>37</sup> Crystallographic details are given in Table S1. CCDC 1545359-1545361.

**General procedure for the Friedel-Crafts alkylations and Michael additions.** An oven-dried 10 mL vial was charged with a solution of the catalyst (0.025 mol/L in MeOH, 66.6  $\mu$ L, 0.0017 mmol, 0.010 equiv.) and the solvent was evaporated to prevent any potential side reaction with MeOH. The catalyst was then dissolved in EtOAc (1.00 mL, C = 0.17 mol/L) and the  $\alpha,\beta$ -unsaturated acyl imidazole **5** (25.0 mg, 0.17 mmol, 1.00 equiv.) was added along with the desired nucleophile **8** (0.18 mmol, 1.10 equiv.). The reaction mixture was then stirred at room temperature until complete conversion of the starting material (reaction monitored by TLC) and concentrated under reduced pressure to afford a crude residue, which was purified by flash column chromatography over silica gel.

## Results and discussion

**Synthetic issues.** Prior to synthesis, we reviewed the literature and summarized two synthetic methodologies (Scheme 1 & Table 1).<sup>27,31,38-45</sup> The first incorporates the in situ blending of Zn, Ln sources and the ligand (Table 1, entries 1-3, 10), whereas the second, which is in favour, incorporates the stepwise synthesis of the neutral ZnL unit, which in turn reacts with the corresponding Ln source (Table 4-9, 11, 12). The ligand indeed shows a dinucleating character binding to both 3d and 4f metal ions. In all the examples, L is chelated to the Zn<sup>II</sup> ion through the imino and the phenoxide groups (N<sub>2</sub>O<sub>2</sub> pocket), whereas the Ln<sup>III</sup> binds to the four O atoms from the two methoxides and the two phenoxides (OOOO pocket). To the best of our knowledge, only three examples of dinuclear Zn<sup>II</sup>-Ln<sup>III</sup> PCCs have been reported (Table 1, entries 1-3). It is worth pointing out that the use of different metal salts or lanthanides with smaller radii has significant impact in the motif of the final product. For example, the use of Ln(OAc)<sub>3</sub> and Zn(OAc)<sub>2</sub>, resulted in di-, tri- and tetranuclear Zn<sup>II</sup>/Ln<sup>III</sup> CCs (Table 1, entries, 3, 5 and 10), whereas the use of a 1:1 mixture

of  $\text{Ln}(\text{OAc})_3$  and  $\text{ZnL}$  yields the trinuclear  $\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}$  motif (Table 1, entries 5, and 8). Interestingly, the use of  $\text{LnCl}_3$  and  $\text{ZnL}$  in 1:1 ratio led to the trinuclear  $\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}$  (Table 1, entries 4, 6, 7 and 9). Moreover, the use of co-ligands significant influences the formulation (Table 1, entries 7, 11, 12). All these notes showcase the importance of preparing stable and well-defined 3d/4f CC catalysts rather than generating them *in situ*.



**Scheme 1.** The protonated form of the organic ligand used in this study and the different synthetic approaches reviewed up to now.

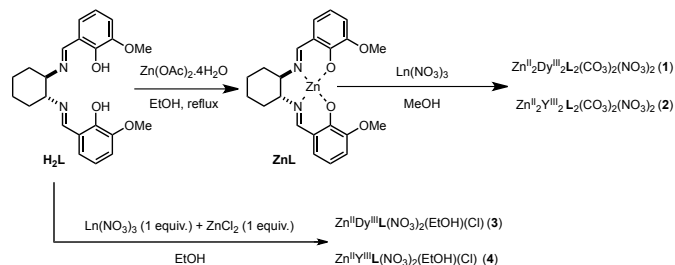
Our primary synthetic goal was the synthesis and characterization of the corresponding dinuclear “ $\text{ZnLnLCl}_3$ ” and “ $\text{ZnLnL}(\text{NO}_3)_3$ ” entities and having all the aforementioned notes in mind. We therefore decided to follow both synthetic strategies and discover their efficiency (Scheme 2). First, we isolated  $\text{ZnL}$ , which was subsequently reacted with  $\text{Dy}(\text{NO}_3)_3$  or  $\text{Y}(\text{NO}_3)_3$  in MeOH at room temperature. These reactions yielded large yellow block crystals in good yields corresponding to the tetranuclear  $[\text{Zn}_2\text{Dy}^{\text{III}}_2\text{L}_2(\text{CO}_3)_2(\text{NO}_3)_2]$  (**1**) and the dinuclear  $[\text{Zn}^{\text{II}}\text{Y}^{\text{III}}\text{L}(\text{NO}_3)_2(o\text{-van})(\text{MeOH})]$  ( $\text{MeOH}$ ) (**2**) CCs. In the first case during crystallization,  $\text{CO}_2$  fixation is observed which in turn is converted to  $\text{CO}_3^{2-}$ . A similar observation has been reported before.<sup>38</sup> Surprisingly, despite  $\text{Y}^{\text{III}}$  having similar ionic radii with  $\text{Dy}^{\text{III}}$ , the analogous reaction yields the dinuclear species **2** in which the main ligand dissociates to the corresponding *o*-vanillin. This experiment was repeated three times and three different crystallographic data sets confirmed the sole formation of **2**. Moreover, the *in situ* reactions of  $\text{H}_2\text{L}$  and the nitrate salts of Zn and Dy or Y resulted in **1** and **2** in lower yields, showcasing the advantage of the stepwise over the *in situ* synthesis.

Both synthetic methodologies were involved towards the synthesis of the targeted “ $\text{ZnLnLCl}_3$ ” entity, however in both cases the corresponding trinuclear  $\text{Zn}_2\text{LnCl}_3$  CC is formed (Table 1, entry 9).<sup>42,45</sup> Then, our efforts focused on the possibility of isolating the hybrid  $\text{Cl-NO}_3$  dinuclear derivative. Therefore, only *in-situ* reactions were performed.

The blend of  $\text{Ln}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ ,  $\text{ZnCl}_2$  and  $\text{H}_2\text{L}$  in EtOH, reactions in MeOH produced bad quality crystalline material, yielded after 4 days thin colourless needle-like crystals which were shown to possess a dinuclear configuration  $[\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}\text{L}(\text{NO}_3)_2\text{Cl}(\text{EtOH})]$ , where Ln is Dy (**3**) and Y (**4**) (49% and 61% yield for **3** and **4**, respectively). The analogous reactions of

**Table 1.** Reported  $\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}$  CCs with  $\text{H}_2\text{L}$  ascended by nuclearity.

Entry	Formula	$\text{Ln}^{\text{III}}$	Conditions	Nuclearity	Ref
1	$[\text{Zn}^{\text{II}}\text{Dy}^{\text{III}}\text{L}(\text{OAc})_2(\text{NO}_3)] \cdot \text{MeOH}$	Dy	$\text{H}_2\text{L} \cdot \text{Dy}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O} \cdot \text{Zn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O} \cdot 2\text{Et}_3\text{N}$ MeOH/ $\text{CH}_3\text{CN}$	2	46
2	$[\text{Zn}^{\text{II}}_2\text{Ln}^{\text{III}}_2\text{L}_2(4,4'\text{-bpe})(\text{NO}_3)_6]$	La, Nd, Yb Er, Gd	$\text{H}_2\text{L} \cdot \text{Dy}(\text{NO}_3)_3 \cdot \text{Zn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$ MeCN	2	39
3	$[\text{Zn}^{\text{II}}\text{Yb}^{\text{III}}(\text{OAc})_3\text{L}(\text{H}_2\text{O})]$	Yb	$\text{H}_2\text{L} \cdot \text{Dy}(\text{OAc})_3 \cdot \text{H}_2\text{O} \cdot \text{Zn}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$ EtOH	2	28
4	$[\text{Sm}^{\text{III}}(\text{Zn}^{\text{II}}\text{L})_2\text{Cl}_2(\text{H}_2\text{O})_2] \cdot \text{PF}_6$	Sm	$\text{ZnL} \cdot \text{SmCl}_3 \cdot 1.5\text{NH}_4\text{PF}_6$ $\text{H}_2\text{O}/\text{CH}_2\text{Cl}_2$	3	40
5	$[\text{Zn}^{\text{II}}_2\text{Ln}^{\text{III}}\text{L}(\text{OAc})_2] \cdot \text{OAc}$	Nd, Yb Er, Gd	$\text{ZnL} \cdot \text{Ln}(\text{OAc})_3$ MeCN/MeOH	3	41
6	$[\text{Zn}^{\text{II}}_2\text{Ln}^{\text{III}}\text{L}_2(\text{Cl})_3]$	Nd, Yb Er, Gd	$\text{ZnL} \cdot \text{LnCl}_3$ MeCN/MeOH	3	42
7	$[\text{Zn}^{\text{II}}_2\text{Ln}^{\text{III}}\text{L}_2(\text{MeOH})\text{Cl}(\text{N}_3)]$	La, Nd, Yb Er, Gd	$\text{ZnL} \cdot \text{LnCl}_3 \cdot 3\text{NaN}_3$ MeCN	3	43
8	$[\text{Zn}^{\text{II}}_2\text{Gd}^{\text{III}}\text{L}_2(\text{OAc})_2] \cdot \text{PF}_6 \cdot \text{MeOH} \cdot \text{H}_2\text{O}$	Gd	$\text{ZnL} \cdot \text{Gd}(\text{OAc})_3 \cdot 4\text{H}_2\text{O} \cdot 1.5\text{NH}_4\text{PF}_6$ MeOH	3	44
9	$[\text{Zn}^{\text{II}}_2\text{Dy}^{\text{III}}\text{L}_2\text{Cl}_3] \cdot 2\text{H}_2\text{O}$	Dy	$2\text{ZnL} \cdot 2\text{DyCl}_3 \cdot \text{H}_2\text{O} \cdot 4,4'\text{-bpe}$ $\text{CH}_3\text{CN}$	3	44
10	$[\text{Zn}^{\text{II}}_2\text{Dy}^{\text{III}}_2\text{L}_2(\text{OAc})_2(\text{CO}_3)_2] \cdot 10\text{MeOH}$	Dy	$\text{H}_2\text{L} \cdot \text{Dy}(\text{ClO}_4)_3 \cdot \text{Zn}(\text{OAc})_2 \cdot 3\text{Et}_3\text{N}$ MeOH/ $\text{CH}_2\text{Cl}_2$	4	38
11	$[\text{Zn}^{\text{II}}_2\text{Ln}^{\text{III}}_3\text{L}_3\text{Cl}_2(\mu_2\text{-OH})(\mu_3\text{-OH})_2(\text{N}_3)_2]$	La, Nd, Yb Er, Gd	$\text{ZnL} \cdot \text{LnCl}_3 \cdot 3\text{NaN}_3$ MeCN	5	43
12	$[\text{Zn}^{\text{II}}_2\text{Ln}^{\text{III}}_3\text{L}_3\text{Cl}_2(\mu_2\text{-H}_2\text{O})(\mu_3\text{-OH})_2(\text{N}_3)_2]$	Nd, Yb, Er	$\text{ZnL} \cdot \text{LnCl}_3 \cdot 3\text{NaN}_3$ MeOH/MeCN	5	31
13	$[\text{Zn}^{\text{II}}_2\text{Dy}^{\text{III}}_2\text{L}_2(\text{CO}_3)_2(\text{NO}_3)_2]$	Dy	$\text{ZnL} \cdot \text{Dy}(\text{NO}_3)_3$ MeOH	4	this work
14	$[\text{Zn}^{\text{II}}\text{Y}^{\text{III}}\text{L}(\text{NO}_3)_2(o\text{-van})(\text{MeOH})]$	Y	$\text{ZnL} \cdot \text{Y}(\text{NO}_3)_3$ MeOH	2	this work
15	$[\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}\text{L}(\text{NO}_3)_2\text{Cl}(\text{EtOH})]$	Y, Dy	$\text{H}_2\text{L} \cdot \text{Ln}(\text{NO}_3)_3 \cdot \text{ZnCl}_2$ MeOH	2	this work



**Scheme 2.** A general synthetic overview for the synthesis of  $\text{Zn}^{\text{II}}\text{Ln}^{\text{III}}$  PCCs **1-4**.

$\text{LnCl}_3 \cdot x\text{H}_2\text{O}$ ,  $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  and  $\text{H}_2\text{L}$  in EtOH, resulted in **3** (Dy) and **4** (Y) in lower yields (40% and 52%, respectively).

### Molecular Structure and Crystallographic Descriptions.

Compound **1** (Figure 1, a) crystallises in the monoclinic space group  $\text{P2}_1/\text{c}$ . The asymmetric units contains one  $\text{Zn}^{\text{II}}$  ion, one  $\text{Dy}^{\text{III}}$  ion, one doubly deprotonated organic ligand **L**, one carbonate and one nitrate molecule. The main core can be considered as two  $[\text{Zn}^{\text{II}}\text{Dy}^{\text{III}}\text{L}(\text{NO}_3)(\text{CO}_3)]$  moieties bridged by two carbonate groups. Each organic ligand is chelated to the  $\text{Zn}^{\text{II}}$  ion via the two imino N atoms and the two phenoxide O atoms to the  $\text{Dy}^{\text{III}}$  ion via the two methoxido and two phenoxide O atoms. The carbonate group bridges the two  $\text{Dy}^{\text{III}}$  ions via oxygen O4 and coordinates to  $\text{Zn}_2$  and the nitrate group is chelated to the  $\text{Dy}^{\text{III}}$  ion. The distorted square pyramidal geometry ( $\text{N}_2\text{O}_3$ ) of the  $\text{Zn}^{\text{II}}$  ion is fulfilled by the

bridging carbonate group (Figure 1). The coordination number of the Dy<sup>III</sup> is nine and the coordination geometry can be best described as pentagonal bipyramid (7+2) (considering the two O atoms from the chelated NO<sub>3</sub> and CO<sub>3</sub> units as only one). There is one Zn<sup>II</sup>-Dy<sup>III</sup> distance at 3.377(2) Å and one Dy<sup>III</sup>-Dy<sup>III</sup> distance at 4.052 Å. Compound **2** (Figure 1, b) crystallizes in the triclinic *P*-1 space group. The asymmetric unit contains one Zn<sup>II</sup> ion, one Y<sup>III</sup> ion, one doubly deprotonated organic ligand **L**, two nitrates, one *o*-vanillin molecule and one methanol molecule. The organic ligand is chelated to the Zn<sup>II</sup> ion by the two imino N atoms and the two phenoxy O atom. The Y<sup>III</sup> centre is coordinated to the two methoxy O atom and the two phenoxy O atom belonging to ligand **L**, one phenoxido and one aldehydic oxygen atoms belonging to the *o*-vanillin moiety, two oxygen atoms belonging to one nitrate molecule and one methanol molecule. There is one Zn<sup>II</sup>-Y<sup>III</sup> distance at 3.4708(11) Å and the geometry around the Zn<sup>II</sup> ion can be described as distorted square pyramidal (N<sub>2</sub>O<sub>3</sub>). The coordination number of the Y<sup>III</sup> is nine and the coordination geometry can be best described, similarly to **1**, as pentagonal bipyramid (7+2). Compounds **3** and **4** (Figure 1, c) are isoskeletal, therefore only the crystal structure of **3** will be described. **3** crystallises in the monoclinic *P*2<sub>1</sub>/*n* space group. The asymmetric unit contains one Zn<sup>II</sup> ion, one Dy<sup>III</sup> ion, one doubly deprotonated organic ligand **L**, two nitrates, one chloride and an ethanol molecule. The organic ligand is chelated to the Zn<sup>II</sup> ion by the two imino N atoms and the two phenoxy O atom. The Dy<sup>III</sup> cation on the other hand is coordinated to the two methoxy O atom and the two phenoxy O atom, four oxygen atoms belonging to two nitrates and an ethanol molecule. The geometry of Dy(1) can be best described as pentagonal bipyramid (considering the two O atoms of the two chelated NO<sub>3</sub> units as one). The distorted square pyramidal geometry (N<sub>2</sub>O<sub>2</sub>) of the Zn<sup>II</sup> is completed by a capping chloride anion (Figure 2). The distance between Ln<sup>III</sup> and Zn<sup>II</sup> is 3.4865(7) Å in **3** and 3.4589(6) Å in **4**.

**Solution Studies.** To determine whether the reported compounds were stable in solution, an electrospray ionisation mass (ESI-MS) spectrometry study was performed. **1** and **2** displayed peaks in the MS (positive-ion mode) which corresponded to the general fragment formula [Zn<sup>II</sup>Ln<sup>III</sup>L(NO<sub>3</sub>)<sub>2</sub>]. This indicates that the tetranuclear units of **1** collapse into solution yielding the corresponding dinuclear moiety (Table 2, entries 1 and 2). **3** and **4** displayed peaks in the MS (positive-ion mode) which corresponded to the general fragment formula [Zn<sup>II</sup>Dy<sup>III</sup>LCl(H<sub>2</sub>O)<sub>2</sub>]<sup>+</sup>, indicating that the dinuclear core was retained when solubilised (Table 2, entries 3 and 4).

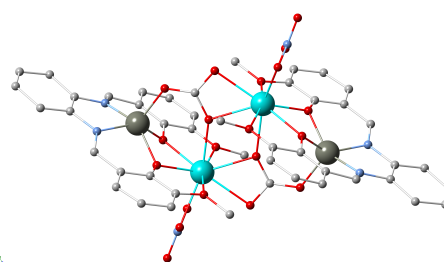
**NMR Studies.** The NMR spectra of [Zn<sup>II</sup>Y<sup>III</sup><sub>2</sub>L(NO<sub>3</sub>)<sub>2</sub>](*o*-van)(MeOH)] in DMSO-*d*<sup>6</sup> showed one major species with sharp peaks that were easily analysed and consistent with the structure. The cyclohexyldiamino peaks were broadened at room temperature, indicating some degree of mobility with the ring. DOSY measurements gave a D of 2.17 to 2.19e<sup>-10</sup>, which is consistent with a "mono" nuclear species with MW ~ 500 Da. Looking at the imine proton region, we could

see a minor species (5%) with broadened peaks, which 2D NOESY spectra confirmed an exchange with the major form. The low abundance and broadening of these peaks means it is not viable to characterise this species. There are also two other minor species (1.9% and 1.2% each) with sharp peaks, but these did not appear to be exchanging, so it is possible that these are simply impurities. Heating it to 75 °C gave the expected coalescence of the major and minor species, with the other peaks staying the same, consistent with those being impurities. The S/N at room temperature was too small however for an accurate measurement of the diffusion coefficient for the minor species, but it is nonetheless also consistent with a similar molecular weight to the major species.

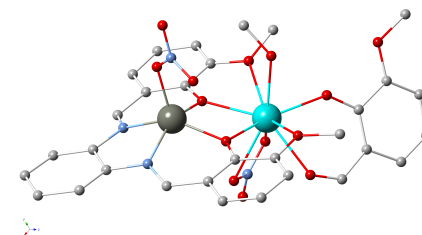
**Table 2.** ESI-MS peak assignments for **1-4**.

Entry	Compound	Fragment formula	Peak position (m/z)
1	<b>1</b>	[Zn <sup>II</sup> Dy <sup>III</sup> (C <sub>22</sub> H <sub>24</sub> N <sub>2</sub> O <sub>4</sub> )(NO <sub>3</sub> ) <sub>2</sub> ]	732.0144
2	<b>2</b>	[Zn <sup>II</sup> Y <sup>III</sup> (C <sub>22</sub> H <sub>24</sub> N <sub>2</sub> O <sub>4</sub> )(C <sub>8</sub> H <sub>7</sub> O <sub>3</sub> )(NO <sub>3</sub> )-H] <sup>+</sup>	746.0396
3	<b>3</b>	[Zn <sup>II</sup> Dy <sup>III</sup> (C <sub>22</sub> H <sub>24</sub> N <sub>2</sub> O <sub>4</sub> )Cl(H <sub>2</sub> O) <sub>2</sub> -2H] <sup>+</sup>	677.9702
4	<b>4</b>	[Zn <sup>II</sup> Y <sup>III</sup> (C <sub>22</sub> H <sub>24</sub> N <sub>2</sub> O <sub>4</sub> )Cl(H <sub>2</sub> O) <sub>2</sub> ] <sup>+</sup>	604.9443

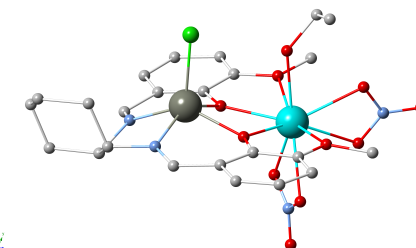
(a)



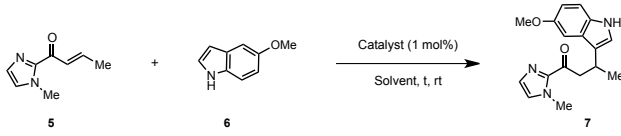
(b)



(c)



**Figure 1.** (a) Molecular structure of **1**. Colour code: Dy<sup>III</sup>-Light blue; Zn<sup>II</sup>-Grey; O-Red; N-Blue; C-Grey. (b) Molecular Structures of **3**. Colour code: Dy<sup>III</sup>-Light Blue; Zn<sup>II</sup>-Grey; O-Red; C-White; N-Pale blue; Cl-Green. (c) Molecular Structures of **4**. Colour code: Dy<sup>III</sup>-Light Blue; Zn<sup>II</sup>-Grey; O-Red; C-White; N-Pale blue; Cl-Green. Hydrogen atoms omitted for clarity.

**Table 3.** Condition screening.


Entry	Catalyst	Solvent	Time (d)	Yield <sup>a</sup> (%)
1	-	MeCN	3	-
2	Cu/dmbpy	MeCN	1	96
3	<b>1</b>	MeCN	3	18
4	<b>1</b>	MeOH	2	40
5	<b>1</b>	EtOAc	4	41
6	<b>2</b>	MeOH	5	50
7	<b>2</b>	MeCN	3	53
8	<b>2</b>	DMF	4	62
9	<b>2</b>	Toluene	3	88
10	<b>2</b> (1 mol%)	EtOAc	3	99
11	<b>2</b> (0.5 mol%)	EtOAc	3	55
12	<b>2</b> (0.1 mol%)	EtOAc	3	14
13	<b>3</b>	EtOAc	3	90
14	<b>4</b>	EtOAc	3	47

<sup>a</sup>Isolated yield.

**Catalytic Studies.** These CCs were eventually evaluated for their catalytic activity. For the initial benchmarking study, we decided to test the addition of 5-methoxyindole (**6**) to  $\alpha,\beta$ -unsaturated 2-acyl imidazole **5**.<sup>46-50</sup> The reactions were carried at 1.0 mol% loading and the results depicted in Table 3.

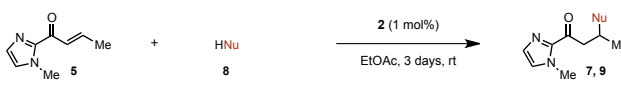
We first compared the performance of **1** to Copper nitrate/4,4'-dimethyl-2,2'-bipyridine (Cu/dmbpy) (Table 3, entry 2), as this was a common catalytic system used for this kind of transformation. Unfortunately, Cu/dmbpy outcompeted **1** in MeCN (Table 3, entry 2 vs 3), while in MeOH

the title compound was formed along with a bi-product which was later identified as the MeO addition product (Table 5, entry 4). The use of a non-nucleophilic solvent such as AcOEt allowed to eliminate any side product formation and afforded the desired Friedel-Crafts product in 41% yield. Substitution of the Dy<sup>III</sup> ion (**1**) to Y<sup>III</sup> (**2**) drastically improved the efficacy of the reaction independently of the solvent used (Table 5, entries 6-10), however the best results were obtained in AcOEt as the product was isolated in almost quantitative yield (Table 3, entry 10). Decreasing the catalyst loading from 1.0 mol% to 0.1 mol% reduced the reactivity as the yield dropped to 14% (Table 3, entries 10-12). With these optimised conditions in hand [1.0 mol% catalyst loading, AcOEt, rt], we next compared the catalytic activity of our four catalysts (**1-4**). Interestingly, catalysts **1** and **2** (Table 3, entries 1-12) displayed on average a higher efficacy than the dinuclear pre-catalysts **3** and **4** (Table 3, entries 13-14). Overall, the Y<sup>III</sup> compounds showed a higher catalytic activity than the Dy<sup>III</sup> derivatives, pre-catalyst **2** being the most effective. This may indicate that the efficacy of the CCs is highly dependent on the coordination environment around the Y<sup>III</sup> and Dy<sup>III</sup> ions.

The scope of the reaction was further investigated with the most efficient CC catalyst **2** using various nucleophiles (Table 4). Unsurprisingly, substitution with electron withdrawing groups on the indole resulted in a substantial reduction in conversion to product (**7b-d**). In contrast, the conjugate addition of *N*-methyl indole was obtained in quantitative yield (**7e**).

The method was eventually extended to other type of nucleophiles, particularly 1,3-dioxane-4,6-dione and dimethyl malonate, resulting in the isolation of the respective products **9a** and **9b** in good yields. Finally, our catalytic system was also shown to be compatible with the addition of thiols and alcohols as showcased by the high yields obtained in the formation of the corresponding thioether **9c** (100% yield) and ether **9d** (80% yield).

As a general trend, the use of catalysts from the first category may overlook the possible formation of multiple 3d/4f species that may significantly influence the observed catalytic behaviour.

**Table 4.** Reaction scope.


<b>7a</b> (99% <sup>a</sup> )	<b>7b</b> (42% <sup>a</sup> )	<b>7c</b> (39% <sup>a</sup> )	<b>7d</b> (traces)
<b>7e</b> (100% <sup>a</sup> )	<b>9a</b> (80% <sup>a</sup> )	<b>9b</b> (67% <sup>a</sup> )	<b>9c</b> (Nu = SBn, 100% <sup>a</sup> ) <b>9d</b> (Nu = OMe, 80% <sup>a,b</sup> )

<sup>a</sup>Isolated yield. <sup>b</sup>Reaction run in MeOH.

## Conclusions

The results presented herein showcase the synthetic challenges revealed during the development of catalytic protocols that involve Zn/4f CCs and the present dinucleating ligand. Previous results by our group<sup>24-27,30</sup> revealed that the Ln substitution in 3d/4f CCs is feasible without altering the core, motif and nuclearity of the final product, therefore permitting a range of techniques for characterization, solid and solution, and monitoring. The present dinucleating Schiff Base ligand represents an ideal candidate for the synthesis of dinuclear 3d/4f catalysts, offering two different pockets for coordination, however unexpected species of different nuclearity can be obtained when different synthetic approaches are followed. The present results notify that in-situ

generated catalysts for catalytic screenings is not a good practice as it may overlook the possible formation of multiple 3d/4f species that may influence the observed catalytic behaviour. Our synthetic attempts yielded dinuclear (**2**, **3** and **4**), and tetranuclear (**1**) species that all exist as dinuclear species in solution. All four catalysts were found to promote, probably *via* a cooperative pathway, the addition of indoles on 2-acyl imidazoles as well as the Michael addition of  $\beta$ -diesters in good yields. The catalytic efficacy of the asymmetric version of these catalysts are currently being investigated and will be reported in due course.

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